

# FACTS

## WHAT DOES INTERCAM SECURITIES, INC. DO WITH YOUR PERSONAL INFORMATION?

### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Date of birth and citizenship
- Social Security number, cedula or passport number and/or other government-issued identification number
- Assets, income, investment objectives, and financial goals
- Account numbers, account balances, and transaction information

### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Intercam Securities, Inc. ("Intercam Securities") chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information   | Does Intercam Securities Share? | Can you limit sharing? |
|--|---------------------------------|------------------------|
| For our everyday business purposes—such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes                             | No                     |
| For our marketing purposes—to offer our products and services to you   | Yes                             | No                     |
| For joint marketing with other financial companies   | No                              | We do not share        |
| For our affiliates' everyday business purposes—information about your transactions and experiences   | Yes                             | No                     |
| For our affiliates' everyday business purposes—information about your creditworthiness   | No                              | We do not share        |
| For our affiliates to market to you  | Yes                             | Yes                    |
| For nonaffiliates to market to you   | No                              | We do not share        |

### To limit our sharing

- Call the Compliance Department at 305-377-8008 or
- Send an e-mail to [compliance@intercamus.com](mailto:compliance@intercamus.com) or
- Mail the form below

**Please note:**

If you are a *new* customer, we can begin sharing your information 30 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

### Questions?

Call 305-377-8008.

### Mail-in Form

**Leave Blank OR**

[If you have a joint account your choice(s) will apply to everyone on your account unless you mark below.

Apply my choices only to me]

Mark the type of sharing you want to limit:

- Do not allow your affiliates to use my personal information to market to me.

Name

Address

City, State, Zip

Country

[Account #]

Mail to:

Intercam Securities, Inc.  
1221 Brickell Avenue  
Suite 200  
Miami, Florida 33131

| Who we are  |  |
|---|--|
| Who is Providing this notice?   | Intercam Securities, Inc.  |
| What we do  |  |
| How does Intercam Securities Protect my personal information  | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.  |
| How does Intercam Securities Collect my personal information?   | We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>▪ tell us about your financial profile</li> <li>▪ tell us about an investment or retirement portfolio</li> <li>▪ open an account or perform transactions</li> <li>▪ make a wire transfer or tell us where to send money</li> </ul>  |
| Why can't I limit all sharing?  | Federal law gives you the right to limit only <ul style="list-style-type: none"> <li>▪ sharing for affiliates' everyday business purposes— information about your creditworthiness</li> <li>▪ affiliates from using your information to market to you</li> <li>▪ sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p> |
| What happens when I limit sharing for an account I hold jointly with someone else?  | Your choices will apply to everyone on your account, unless you tell us otherwise.   |
| Definitions   |  |
| Affiliates  | Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>▪ <i>Intercam Advisors, Inc.</i></li> <li>▪ <i>Intercam Futures, Inc.</i></li> </ul>  |
| Nonaffiliates   | Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>▪ <i>We do not share with nonaffiliates so they can market to you</i></li> </ul>  |
| Joint marketing   | A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> <li>▪ <i>We do not have any joint marketing arrangements</i></li> </ul>  |
| Other important information   |  |
| <p>Registered Persons of Intercam Securities may change brokerage firms and nonpublic personal information collected by the Registered Person from you might be provided by that Registered Person to the new firm so that the Registered Person can continue to service your account(s) at the new firm. If you do not want the Registered Person who is/was assigned to your account to use or transfer this information, please call 305-377-8008 to opt out of this sharing. Opt-in states such as California require your affirmative consent to share your nonpublic information with the Registered Person's new firm, and in those states you must give your written consent before the Registered Person can take your nonpublic information with him or her. You can withdraw this consent at any time by contacting 305-377-8008. Information for California Customers: We will limit the sharing of personal information with our affiliates to comply with all California privacy laws that apply to us.</p> |  |

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